



In The United States Patent and Trademark Office

Application Number: 10/080,855
Applicants: Kenneth Hu
Filing Date: 02/22/2002
Title: Method of Evaluating Security Trading Capacity

Examiner:
Group Art Unit:

COPY OF PAPERS
ORIGINALLY FILED

Date: July 19, 2002

PRELIMINARY AMENDMENT

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Kindly amend the above application as follows.

IN THE CLAIMS:

Please rewrite claims 51-54 and add claims 55-56 of record as follows:

A¹ Claim 51 (amended). A method of evaluating security trading capacity, comprising the steps of:

- (a) providing an investment portfolio which is an electronic file having a predetermined market value;
- (b) inputting a trade order of a predetermined amount, wherein said trade order includes at least a security to be traded;
- (c) providing an updated security quote for each of said tradable securities of said personal investment portfolio, thereby a user is able to view said updated security quote before planning said trade order; and
- (d) producing a security balance by computing said trade order according to either a predetermined quantity or an amount of fund to be committed.

RECEIVED
AUG 05 2002
GROUP 3600

08/01/2002 SSANDARA 00000002 10080855

18.00 OP

01 FC:103